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APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/003.750

SALT LAKE CITY, UT 84111

11/22/2001

Mark Lucovsky

13768.198.1

CONFIRMATION NO. 4906

FORMALITIES LETTER

OC000000007273615*

WORKMAN, NYDEGGER & SEELEY A PROFESSIONAL CORPORATION 1000 EAGLE GATE TOWER 60 EAST SOUTH TEMPLE

Date Mailed: 01/07/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- · The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

The balance due by applicant is \$ 130.

A copy of this notice <u>MUST</u> be returned with the reply.

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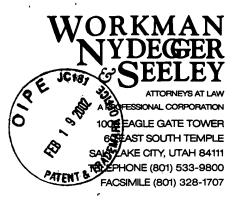
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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L. DAVID GRIFFIN FRASER D. ROY CARL T. REED JESÚS JUANÓS I TIMONEDA, PH.D. R. PARRISH FREEMAN, JR. PETER F. MALEN, JR. ADRIAN J. LEE DAVID B. TINGEY L. REX SEARS, PH.D. ERIC M. KAMERATH ROBERT E. AYCOCK JENS C. JENKINS KEVIN W. STINGER WILLIAM J. ATHAY WILLIAM R. RICHTER* † ADMITTED ONLY IN CALIFORNIA * ADMITTED ONLY IN PENNSYLVANIA H. ROSS WORKMAN OF COUNSEL

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Express Mail Label No. EK 327 849 329 US

PATENT APPLICATION
Docket: 13768.198.1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:)
	Mark Lucovsky et al.)
Serial No.:	10/003,750) Art Unit
Filed:	October 22, 2001) 2176
Confirmation No.:	4906)
For:	IDENTITY-CENTRIC DATA ACCESS)

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Box: Missing Parts

Assistant Commissioner for Patents

Washington, D. C. 20231

Sir:

In response to the Notice to File Missing Parts of Application mailed January 7, 2002, please find enclosed herewith the following items for filing in the United States Patent and Trademark Office in connection with the above-identified patent application:

- (1) a Declaration, Power of Attorney, and Petition executed by the inventors Mark Lucovsky et al.;
- (2) an Assignment from the inventors Mark Lucovsky et al. to Microsoft Corporation;
- (3) a copy of the Notice to File Missing Parts of Application Filing Date Granted;
- (4) Check No. 125972 in the amount of \$170.00 to cover the filing fee for the above-identified application, the recordation fee for the enclosed patent assignment, and the surcharge fee pursuant to 37 C.F.R. § 1.16(e). These fees have been calculated as follows:

Assignment recordation fee	=	\$40.00
Surcharge fee	=	\$130.00
TOTAL	=	\$170.00

Please debit any additional fees, or credit any overpayment, to Deposit Account No. 23-3178. Triplicate copies of this sheet are enclosed.

The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or to credit any overpayment to Deposit Account No. 23-3178. Copies of this sheet are enclosed in triplicate.

- X Any additional filing fees required under 37 C.F.R. § 1.16.
- X Any patent application processing fees under 37 C.F.R. § 1.17.

The Commissioner is hereby authorized to charge payment of the following fees during the pendency of this application or credit any overpayment to Deposit Account No. 23-3178. Copies of this sheet are enclosed in triplicate.

- X Any patent application processing fees under 37 C.F.R. § 1.17.
- The issue fee set in 37 C.F.R. § 1.18 at or before mailing of the Notice of Allowance, pursuant to 37 C.F.R. 1.311(b).
- \underline{X} Any filing fees under 37 C.F.R. § 1.16 for presentation of extra claims.

Please address all future correspondence in connection with this case to the attention of the undersigned.

Dated this 19 day of FEBRUADY, 2002.

Respectfully submitted,

RICK D. NYDEGGER
Attorney for Applicant
Registration No. 28,651

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PATENT TRADEMARK OFFICE

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Enclosures
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CERTIFICATE OF WA		ket No.				
Applicant(s): Mark Luc	137	68.198 <u>.1</u>				
Serial No.	Filing Date	Confirmation No.	Examiner	Group Art Unit		
10/003,750	October 22, 2001	4906	unknown	2176		
Invention: IDENTITY-CENTRIC DATA ACCESS						

I hereby certify that the Response to Notice to File Missing Parts of Application (in triplicate); Declaration, Power of Attorney and Petition (5 pgs); PTO-1595 Recordation Cover Sheet and Assignment (5 pgs); Copy of Notice to File Missing Parts *Filing Date Granted* (1 pg); Check No. 125972 in the amount of \$170.00; and postcard are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: Box Missing Parts, The Assistant Commissioner for Patents, Washington, DC 20231 on February 19, 2002.

JOLIN JOHNSON

(Typed or Printed Name of Person Mailing Correspondence)

(Signature of Person Mailing Correspondence)

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